4711/TCG/TPG/OTHER/LE

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Steven Verhaverbeke, et al.

Application No.: 09/891,849

Filed:

June 25, 200

For:

METHOD AND APPARATÚS FOR

WAFER CLEANING

Commissioner for Patents Washington, D.C. 20231

Art Unit: Not ye

aR1Coff Art Unit: Not-yet-assigned

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449A together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449A be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D.C.20231 on October 18, 2001

(Date of Deposit)

Dianne Neathery

(Typed or printed name of person mailing correspondence)

(Signature and date of person mailing correspondence)

Info.Disc.Stmt. BSTZ Ref. 04887.P454X - 1 -

LJV/cak (11/07/00)

Atty. Docket: 4711/TCG/TPG/OTHER/LE

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and
	(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

submission of the Information Disclosure Statement.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 18, 2001

James H. Salter Reg. No. 35,668

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

Info.Disc.Stmt. BSTZ Ref. 04887.P454X -2-

LJV/cak (11/07/00) Atty. Docket: 4711/TCG/TPG/OTHER/LE

سريء	_						
Substitute for Form 1449A/PTO (Modified) (use as many sheets as new serv)				.,—	Attorney Docket No.: 004711/TCG/TPG/other/LE	Application Num 09/891,849	ıber:
DEC 0 3 2001				<u>-</u> .	First Named Inventor: Steven Verhaverbeke		
					Filing Date: June 25, 2001		
		חאט		U.S. PA	ATENT DOCUMENTS		
Exam. Initial*	Cite No. ¹	U.S. Patent I Number Code ² know	Kind (If		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	4,401,131		Robert	M. Lawson	5/15/81	
	В	4,804,007		Mario I	E. Bran	4/29/87	
			<u></u>				
						N	
						m	
					1	750	
						201	
-							
	<u>.</u>					9 3 7	5

	Cite	Foreign Patent Document			Name of Patentee or Applicant	Date of	Pages,	T^{ϵ}
	No.¹	Office ³	Number ⁴	Kind Code ³ (If known)	of Cited Document Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	ere t or t	
	С	WO	00/21692	A1	BUSNAINA, Ahmed	4-20-2000		
				1				

Examiner	Date Considered	
Signature		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{&#}x27;Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)			Attorney Docket No.: Application Num 004711/TCG/TPG/other/LE 09/891,849		
		DEC 0 3 2001	First Named Inventor: Steven Verhaverbeke Filing Date: June 25, 2001		
		OTHER AND PATENT	LITERATURE DOCUMENTS		
Examiner Initials*	Cite No¹	Include name of the author (in CAPITAL LE item (book, magazine, journal, serial, son number(s), publisher, contact the series of	ETTERS), title of the article (when appropymposium, catalog, etc.), date, page(s), vity and/or country where published	oriate), title of the olume-issue	Translat ion²
	D	"Powerful Solutions for Critical Cl 1p., 1998 http://www.prosysmeg.com/body	index.html		
	E	"Ultrasonic Cleaning – Fundamer Systems, 2 pp. 6/5/00 http://www.pmrsystems.com/pag	e10.html		
	F	"Advanced Megasonic Cleaning" http://www.pmrsystems.com/pres	. Vennerbeck, R., 9 pp., 6/5/00 sentations/DVFPS98-Final/sld0) <u>)01</u> .htm	
	G	"Polymer Piezoelectric Transduc 8 pp., 9/96, http://www.ndt.net/ar		Cohen, et al.,	
	Н	"Piezoelectric Transducers", 1 p. http://www.bae.ncsu.edu/bae/resiezo.html	, 2/20/98 earch/blak/electrodes/projec	cts/sensors/p	
	I	"Comment on Particle Removal Net al., Verteq, Inc., 6/5/00; 3 pp., http://www.verteq.com/company/		7 1	REC
	J	"Typical Uses/Definition of Mater 2 pp. http://www.sonatech.com/p	ial Properties". Channel Indust age04.htm	ries, 6/5/00;	EN
	K	"Piezoelectric Ceramic", Channe http://sonatech.com/page03.htm		00	ממ
	L	"What is the "venturi affect?", Va http://www.nature.net/forums/loa	n Dien, Nature.Net; 12/13/99, 6 d/weather/msg12161915959.h	6 pp. <u>itml</u>	
	М	"What is the Boundary Layer as i ProSys, 6/5/00, 3.pp. http://www.prosysmeg.com/html		ng?",	

Examiner	Date
Signature	Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449A/PTO (Modified)			Attorney Docket No.: 004711/TCG/TPG/other/LE	Application Number: 09/891,849
ise. Just	as many si	DEE 0 3 2001	First Named Inventor: Steven Verhaverbeke Filing Date: June 25, 2001	
		OTHER ART - NO PATENT	LITERATURE DOCUMENTS	
Examiner Initials*	Cite No¹	Include name of the author (in CAPITAL I of the item (book, magazine, journal, seri- issue number(s), publisher,	LETTERS), title of the article (when approal, symposium, catalog, etc.), date, page(scity and/or country where published	ppriate), title
	N	"Megasonic Cleaning System, M http://www.seamboatsemi.com/e	lodel 2000 SS", 6/5/00, 2 pp. equipment/megasonic.html	
	0	"Megasonic Cleaning-What is months://www.prosysmeg.com/html/		pp.
	Р	"The Semiconductor Manufactur Polishing". Fullman-Kinetics; 6/1	ing Process: Wafer Lapping & 8/00, 2 pp.	
	Q	"19 th Annual Semiconductor Pure 2000 Proceedings 3/13-16/2000 Clara, CA – pages 318-328, 13	, Santa Clara Convention Cent	
				P
				EC C
			C C	ECENE BEC 5 2001
				0 0
Evaminer	1		Date	1

Considered

Signature

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.